

# A Successful Distribution Event in Response to NAV Challenges

## THE SITUATION

For asset management firms, unexpected NAV impacts can arise due to accounting discrepancies, revenue share concerns and inaccurate portfolio asset valuations.

One mutual fund firm needed to remediate a NAV event by distributing funds to affected investors. This complex process involved drafting formal distribution plans, gathering underlying data from brokers, determining calculation methodologies, executing distributions and providing tax reporting.

Key challenges included:

- Limited internal experience managing large-scale distribution events
- Insufficient resources to handle increased volumes and regulatory requirements

## THE SOLUTION

Collaborating with a forensic accounting firm, tax administrator and regulators, SS&C Flex provided:

- Project management
- Broker outreach
- Distribution calculations
- Print and mail services
- Call center support
- Cash management
- Check reissuance
- Returned mail processing
- Regulatory reporting

## THE RESULTS

- The firm successfully presented a comprehensive distribution plan to regulators and its board
- Business operations continued uninterrupted throughout the remediation process

## SS&C Flex: Unmatched global support for business events

SS&C has 30+ years' experience in helping companies respond to seasonal, disruptive or extraordinary business events, with proven expertise in best practices, processes and systems.

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